

LOCAL PLAN RISK REGISTER  
DATE OF LATEST REVISION - 09.01.23

ID REF.	DATE RAISED	RISK DESCRIPTION	RISK SCORE			MITIGATION MEASURES	AFTER RISK MITIGATION			ASSIGNED OFFICER	STATUS	UPDATES & COMMENTS	COMPLETE DATE
			LIKELIHOOD	IMPACT	TOTAL SCORE		LIKELIHOOD	IMPACT	TOTAL SCORE				
1	24.08.20, description amended 20.12.22	Insufficient non-staff resources to complete the plan in timely fashion	3	4	12	Effective project plan, approved budget and successful bids for external funding.	1	4	4	DH/JC		Resources required for e.g. evidence work and staffing not completely predictable.	
2	24.08.20, descr. amended 20.12.22	Staffing risks. Insufficient capacity, skills, knowledge and effective working to complete the plan in timely fashion.	5	5	25	Recruitment of replacement/additional staff. Skills audit, team management, training programme etc.	5	5	25	DH/JC		<b>The situation has reached crisis point, despite strident endeavours to mitigate the situation, following the resignation since Christmas of the Interim Team Manager and an Interim Principal Planner. A (permanent) Senior Planner has also gone on sick leave for an indeterminate period. A total of three staff will be leaving within the next few weeks, when there is already one vacancy (provisionally filled from mid-March). This continues an ongoing pattern of rapid staff turnover, and consequent loss of local knowledge and plan evolution continuity. Public sector recruitment in this field is very challenging nationally. Recent need to re-advertise posts due to very few or no applications. Remaining team are under great pressure, causing stress and leading to tensions within the team.</b>	
3	24.08.20	Evidence base flawed, incomplete or not up to date	2	4	8	Effective project management and governance	1	4	4	JC		A review of the evidence work to date is being undertaken to ensure it is up to date to an appropriate standard for the Draft Plan and that we are progressing appropriately towards the more demanding and crucial needs in advance of the later examination of the submission plan. This work is due to be completed by the end of December. Not all evidence requirements can be anticipated, and this is borne in mind on an ongoing basis (e.g. several strands of additional transport work are needed in connection with the current review/testing of the 'new settlement' options) with potential implications for budget and timescale.	
4	24.08.20	Failure to address corporate vision and objectives	2	3	6	Effective governance and project management	1	3	3	DM/JC		Note that there can be tensions between corporate vision & objectives and those of national policy & legislation.	
5	07.09.20, descr. & mitig. amended 20.12.22	Lack of political consensus, e.g. unable to agree a plan meeting national requirements	5	5	25	Establish LPLG with regular briefing	2	5	10			(a) The general consensus of the Council membership on growth, development and protection of amenities is somewhat at odds with national requirements. (b) Upcoming elections may exacerbate this and divisions in the Council membership, and increase the risks. (c) If the Council does not accept the majority of the officer recommendations (post-elections), as they are fully entitled to do, then there will be some delay to the publication of the Draft Plan. (d) If the Council is unable to agree a plan that meets the requirements (e.g. housing growth target) then there will be a major delay.	
6	19.11.21	Plan is found to be unsound because choice of proposed sites not supported by the evidence base	2	5	10	Robust evidence base driving the selection of proposed sites.	1	5	5			The Site Assessment (SLAA) Methodology has been revised and a new Site Selection Methodology established. These were presented to LPLG & Scrutiny in November 2022. Given the complexities and balancing judgements required, and inevitable challenges to them, and inevitable challenges to them at examination, only degrees of confidence, not certainty, can be achieved in this matter.	
7	10.10.22	The proposed changes to the timetable extend the period for which the district is at risk of speculative development.	4	4	16	The pressure of time is in tension with robustness and quality (see evidence etc. risks), requiring astute judgment in balancing these concerns and managing and how	3	4	12				
8	10.10.22	The timetable proposed in the LDS slips. There are always unknown factors/issues arising in the production of a Local Plan that require consideration and may result in slippage. Government intervention would	4	4	16	The Local Plan preparation's project plan is actively managed, and reported to COB and Scrutiny Ctte.	3	4	12	JC		A new Project Plan has been prepared, and is still evolving and being detailed, following the change of timetable and direction. Significant progress has been made. There has been some slippage against the originally envisaged timetables, but not yet irrecoverable.	

9	10.10.22, descr. amended 20.12.22	Major changes in national policy or legislation may require (or enable) a radically different plan. The Levelling Up and Regeneration Bill, for example, includes some significant proposed changes.	4	4	16	The Council will monitor upcoming consultation and anticipated changes.	2	4	8			Likelihood of change, potentially highly significant to the project, but impact and detail of this remain uncertain. Very recent announcements of policy change (e.g. re housing targets), but whether such changes are significant for UDC will likely not be known for months.
10	20.12.22	There are insufficient feasible sites to deliver the scale of housing growth required.growth reqble way of delivering the scale of housing growth	3	5	15	Prioritise re-assessment of strategic growth area proposals to identify which, if any, are realistic 'options'.	2	5	10			There is currently doubt that some of the previously assumed 'preferred options' are realistic, or can be demonstrated to be so. The team is working at pace to re-examine and sense check the strategic 'options'. All these have now been run through the new enhanced site assessment process, we are part-way through meeting again the promoters of the more promising ones, and are about to commision additional transport modelling and assessments to inform on this crucial factor.